2655 #6 BT

Attorney's Docket No.: 85710P052

<u>Patént</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of:

Ian Moir

Application No.: 09/945,445

Filing Date: August 31, 2001

For:

METHOD AND SYSTEM TO IMPLEMENT POLICY-BASED

NETWORK TRAFFIC MANAGEMENT

Examiner: Not yet assigned

Art Group: 2635

RECEIVED

APR 2 2 2002

Technology Center 2600

Assistant Commissioner for Patents Washington, D.C. 20231

RECEIVED

INFORMATION DISCLOSURE STATEMENT

MAY 0 6 2002

Sir:

Technology Center 2100

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class m	ail with sufficient
postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231	
on April 8, 2002	
Date of Deposit	
A Sarah M. Montgomery	
Name of Person Mailing Correspondence 4/8/02	
Signature Date	

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

_X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$\frac{180.00}{180.00}\$ for the fee under 37 C.F.R. \\$1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: <u>03/26/</u>, 2002

the appropriate paragraph):

Andre L. Marais

Registration no. 48,095

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 947-8200

								4
Substitute for Form 1449A/PTO (Modified)				Complete if Known				
INFORMATION DISCLOSURE				Application Number 09		09/945,445	•	
					Filing Date		August 31, 2001	
~S	JAG	EMENT BY AI	PPLI	ICANT	First Named Invento	r:	Ian Moir	
0.	E	Yuse as many sheets as ne			Group Art Unit Examiner Name		2635 Not yet assigned	
APD 4		<u>(5)</u>						
Sheet	1 2002	<u> </u>	of 2			nber	85710P052	
Exam	1,45]	1		DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		
Exam:	MARKE	U.S. Patent Docume	nt	Name of Pa	tentee or Applicant	Date of	Publication of	Pages, Columns, Lines,
Initial*	No. ¹	Number Kind Cooknown)	de ² (If	of Cite			Document DD-YYYY	Where Relevant Passages or Relevant Figures Appear
		US 2001/0026553	A1	Gal	lant et al.	10-0	04-2001	
		5,982,780		Во	hm et al.	_	09-1999	
		6,154,776	<u> </u>	<u> </u>			28-2000	
		6,094,435			man et al.		25-2000	
		6,047,322		Vaid et al.		04-0	04-2000	
						171		
						-		
							RECE	IVED
							APR 2	2 2002
							Seehnology	Conter 2600
				REC	EIVED	<u> </u>	tiekini rana A.A.	Panral Konn
					0 6 5005			
				YAM	0 8 5005			
				- L-ala	gy Center 2100			
				<u>Lecunoio</u>	9)			
L		<u> </u>	<u> </u>					
							,	

 			F	OREIGN PATENT DOCUME	ENTS		
Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (If known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner	Date Considered	*
Signature		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

W-1 1110						
Substitute for Form 1449A/PTO (Modified)			ed)	Comp	olete if Known	
INFORMATION DISCLOSURE				Application Number	09/945,445	
OSTATEMENT BY APPLICANT				Filing Date	August 31, 2001	
/ OSTATE	₹WEI	NT BY AP	PLICANT	First Named Inventor:	Ian Moir	
Mrs ac many chaote ac nacaceany)				Group Art Unit	2635	
APR 1 7 2002	<i>y</i>			Examiner Name	Not yet assigned	
Sheet	\$\frac{1}{2}	of	2	Attorney Docket Number	85710P052	
TRADEMAN		OTHE	R ART – NO PATE	NT LITERATURE DOCUME	NTS	
	Cite No ¹	item (book, i	magazine, journal, sei number(s), publi	AL LETTERS), title of the article rial, symposium, catalog, etc.), of sher, city and/or country where IS01/27250, March 1, 2002.	late, page(s), volume-issue	T ²
					RECEIVED	
					MAY 0 6 2002	
					Technology Center 2100	
					RECEIVED APR 2 2 2002	
					APR 2 2 2002	
				1	echnology Center 2600	
						/
Examiner	<u> </u>	4		Da	to /	

Considered

Signature

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.